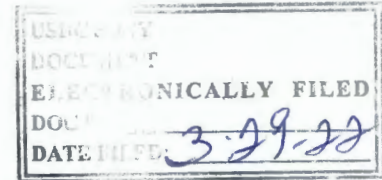


UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK



----- x  
Securities and Exchange Commission,

Plaintiff,

22-CV-1933 (LAK)

v.

John Barksdale, et al.,

Defendants.  
----- x

ORDER RE SCHEDULING AND  
INITIAL PRETRIAL CONFERENCE


KAPLAN, District Judge.

This action having been assigned to me for all purposes, it is hereby,  
ORDERED as follows:

1. Counsel receiving this order shall promptly mail copies hereof to all other counsel of record or, in the case of parties for which no appearance has been made, to such parties.
2. Counsel for all parties are directed to confer regarding an agreed scheduling order. If counsel are able to agree on a schedule and the agreed schedule calls for filing of the pretrial order not more than six (6) months from the date of this order, counsel shall sign and file by 4/19/2022 a consent order in the form annexed for consideration by the Court. If such a consent order is not filed within the time provided, a video-conference invite will be emailed to counsel setting a video-conference on 4/25/2022 at 2:50 PM.
3. Any party desiring a conference with the Court for purposes of discussing settlement, narrowing of issues, or other pertinent pretrial matters may request a conference by letter.
4. Counsel should be aware that this case has been designated for Electronic Case Filing (ECF). It is the responsibility of counsel to become familiar with and follow ECF procedures. Information regarding the ECF system can be found on the Court's website at [www.nysd.uscourts.gov](http://www.nysd.uscourts.gov).

SO ORDERED.

Dated: March 29, 2022

  
\_\_\_\_\_  
Lewis A. Kaplan  
United States District Judge

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

----- X

Securities and Exchange Commission,

Plaintiff,

22-CV-1933 (LAK)

v.

John Barksdale, et al.,

Defendants.

----- X

Consent Scheduling Order

Upon consent of the parties, it is hereby  
ORDERED as follows:

1. No additional parties may be joined after \_\_\_\_\_.
2. No amendments to the pleadings will be permitted after \_\_\_\_\_.
3. The parties shall make required Rule 26(a)(2) disclosures with respect to:
  - (a) expert witnesses on or before \_\_\_\_\_;
  - (b) rebuttal expert witnesses on or before \_\_\_\_\_.
4. All discovery, including any depositions of experts, shall be completed on or before \_\_\_\_\_.
5. A joint pretrial order in the form prescribed in Judge Kaplan's individual rules shall be filed on or before \_\_\_\_\_.
6. No motion for summary judgment shall be served after the deadline fixed for submission of the pretrial order. The filing of a motion for summary judgment does not relieve the parties of the obligation to file the pretrial order on time.
7. If any party claims a right to trial by jury, proposed voir dire questions and jury instructions shall be filed with the joint pretrial order.
8. Each party or group of parties aligned in interest shall submit not less than ten (10) days prior to trial (a) a trial brief setting forth a summary of its contentions and dealing with any legal and evidentiary problems anticipated at trial, and (b) any motions in limine.
9. This scheduling order may be altered or amended only on a showing of good cause not foreseeable at the date hereof. Counsel should not assume that extensions will be granted as a matter of routine.

Dated:

\_\_\_\_\_  
Lewis A. Kaplan  
United States District Judge

CONSENTED TO: [signatures of all counsel]